

PTO/SB/17 (10-07)

Approved for use through 06/30/2010. OMB 0651-0032

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Effective on 12/08/2004.

Pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

FEE TRANSMITTAL

For FY 2008

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 180.00

Complete if Known

Application Number	09/010,193
Filing Date	January 21, 1998
First Named Inventor	David Lee Garrison
Examiner Name	Samuel G. Rimell
Art Unit	2164
Attorney Docket No.	23952-0046

METHOD OF PAYMENT (check all that apply)☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): _____☒ Deposit Account Deposit Account Number: 19-5029 Deposit Account Name: SutherlandAsbill&Brennan

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☒ Credit any overpayments

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FEE CALCULATION**1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	310	155	510	255	210	105	
Design	210	105	100	50	130	65	
Plant	210	105	310	155	160	80	
Reissue	310	155	510	255	620	310	
Provisional	210	105	0	0	0	0	

2. EXCESS CLAIM FEES**Fee Description**

	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 (including Reissues)	50	25
Each independent claim over 3 (including Reissues)	210	105
Multiple dependent claims	370	185

Total Claims	Extra Claims	Fee (\$)	Fee Paid (\$)
_____ - 20 or HP = _____	x _____	= _____	

HP = highest number of total claims paid for, if greater than 20.

Indep. Claims	Extra Claims	Fee (\$)	Fee Paid (\$)
_____ - 3 or HP = _____	x _____	= _____	

HP = highest number of independent claims paid for, if greater than 3.

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$260 (\$130 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
_____ - 100 = _____	/ 50 = _____	(round up to a whole number) x _____	= _____	

4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): Information Disclosure Statement Submission Fee\$180.00**SUBMITTED BY**

Signature		Registration No. (Attorney/Agent) 58,072	Telephone 404-853-8253
Name (Print/Type)	William T. Cook	Date December 7, 2007	

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No. : 09/010,193 Confirmation No.: 8380
Filed : January 21, 1998
Applicant : David Garrison, et al.
Title : **Dual Source Remittance Processing**

Art Unit : 2164
Examiner : Rimell, Samuel G.

Atty Docket No. : 23952-0046
Customer No. : 72386

DISCLOSURE UNDER 37 C.F.R. § 1.56

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The citation of information below and in the enclosed documents is made pursuant to 37 C.F.R. § 1.56.

Pending U.S. Patent Application No. 09/010,193 is related to the following U.S. patent application numbers:

(1) U.S. Patent Application No. 08/994,046 (Filed 12/19/1997)

- Non-Final Office Action mailed 03/21/2000
- Final Office Action mailed 08/31/2000
- Non-Final Office Action mailed 01/29/2001
- Notice of Allowance mailed 07/02/2001

(2) U.S. Patent Application No. 08/994,047 (Filed 12/19/1997)

- Non-Final Office Action mailed 05/20/1999
- Non-Final Office Action mailed 06/18/1999
- Final Office Action mailed 06/09/2000
- Advisory Action mailed 01/25/2001
- Non-Final Office Action mailed 05/04/2001
- Final Office Action mailed 10/11/2001

Application No.: 09/010,193
Filed: January 21, 1998
Disclosure Under 37 C.F.R. § 1.56

- Notice of Allowance mailed 05/07/2002
- Non-Final Office Action mailed 08/16/2002
- Final Office Action mailed 01/28/2003
- Advisory Action mailed 04/09/2003
- Examiner's Answer to Appeal Brief mailed 08/18/2003
- Notice of Allowance mailed 12/15/2006
- Notice of Allowance mailed 05/11/2007

(3) U.S. Patent Application No. 08/994,363 (Filed 12/19/1997)

- Non-Final Office Action mailed 08/31/1999
- Non-Final Office Action mailed 08/29/2000
- Final Office Action mailed 02/27/2001
- Non-Final Office Action mailed 04/17/2003
- Final Office Action mailed 10/06/2003
- Examiner's Answer to Appeal Brief mailed 04/04/2005
- Notice of Abandonment mailed 10/16/2006

(4) U.S. Patent Application No. 10/043,247 (Filed 01/14/2002)

- Non-Final Office Action mailed 04/05/2007

(5) U.S. Patent Application No. 10/443,864 (Filed 05/23/2003)

- (Continuation of U.S. Patent Application 08/994,363)
- Non-Final Office Action mailed 07/13/20007

(6) U.S. Patent Application No. 10/443,865 (Filed 05/23/2003)

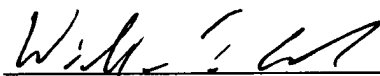
- (Continuation of U.S. Patent Application 08/994,363)
- Non-Final Office Action mailed 01/12/2005
 - Final Office Action mailed 07/27/2005
 - Final Office Action mailed 05/02/2006
 - Notice of Abandonment mailed 12/11/2006

Application No.: 09/010,193
Filed: January 21, 1998
Disclosure Under 37 C.F.R. § 1.56

These Office Actions, Advisory Opinions, Reply Briefs, and Notices of Allowance (if any) as well as additional information relating to the prosecution history of these granted and pending patent applications may be accessible through the Patent Application Information Retrieval (PAIR) system located on the United States Patent and Trademark Office's website.

The citation of this information does not constitute an admission or a waiver of any right the Applicants may have under applicable statutes, Rules of Practice in patent cases, or otherwise. The citation of this information is made to ensure the Examiner is aware of and considers the prosecution history associated with these related patent applications and patents.

Respectfully submitted,



William T. Cook
Reg. No. 58,072

Date: **December 7, 2007**
SUTHERLAND ASBILL & BRENNAN, LLP
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Attorney Docket No.: 23952-0046



PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Serial No. : 09/010,193 Confirmation No. 8380
Applicant : David Lee Garrison et al.
Filed : January 21, 1998
Title : Dual Source Remittance Processing

Art Unit : 2164
Examiner : Rimell, Samuel G.

Docket No. : 23952-0046
Customer No. : 72386

INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The citation of information on the attached Form PTO/SB/08A, "Information Disclosure Statement by Applicant" is made pursuant to 37 C.F.R. §§ 1.56, 1.97(c), and 1.98.

Pursuant to the Office's waiver of the requirement for submitting a copy of each cited U.S. patent and each U.S. patent application publication for all U.S. national patent applications, no copy of any cited U.S. patent or U.S. patent application publication is being provided herewith. However, copies of the foreign patent publication references are provided herewith.

Pursuant to 37 C.F.R. § 1.97(c), this Information Disclosure Statement is being filed before the mailing date of any of a final action under § 1.113, a notice of allowance under § 1.311, or an action that otherwise closes prosecution in the application, and is accompanied by the fee set forth in § 1.17(p). The Commissioner is hereby authorized to charge the \$180.00 fee and any additional fees, or to credit any overpayment, to Deposit Account No. 19-5029.

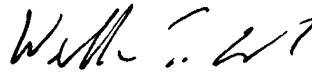
12/11/2007 SDENB083 00000037 195029 09010193
01 FC:1806 180.00 DA

Information Disclosure Statement
U.S. Appln. No. 09/010,193

The Declaration of Mary Elizabeth Lawson submitted by Applicants and listed on the attached Form PTO/SB/08A is provided in an effort to provide a more complete picture of the payment remittance processing service of the assignee, CheckFree Corporation ("CheckFree"), that existed on or before December 19, 1996.

The citation of this information does not constitute an admission of priority or that any cited item is available as a reference, or a waiver of any right the Applicants may have under applicable statutes, Rules of Practice in patent cases, or otherwise.

Respectfully submitted,



William T. Cook
Reg. No. 58,072

Date: December 7, 2007
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Attorney Docket No.: 23952-0046

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

Application Number	09010193
Filing Date	1998-01-21
First Named Inventor	David Lee Garrison
Art Unit	2164
Examiner Name	Rimell, Samuel G.
Attorney Docket Number	23952-0046

CERTIFICATION STATEMENT

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

☐ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

OR

☐ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

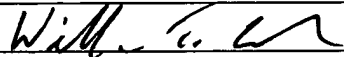
☐ See attached certification statement.

☒ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

☐ None

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature		Date (YYYY-MM-DD)	2007-12-07
Name/Print	William T. Cook	Registration Number	58,072

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these records.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.